

CONTINUING (1.53(b)) UTILITY PATENT APPLICATION TRANSMITTAL

(Only for continuing applications under 37 CFR 1.53(b))

Attorney Docket No.
1081.1055D

First Named Inventor:
Yoshio KITAMURA

Title: RAID APPARATUS AND ACCESS CONTROL
METHOD THEREFOR WHICH BALANCES THE USE
OF THE DISK UNITS

Express Mail Label No.

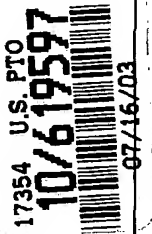
APPLICATION ELEMENTS

See MPEP chapter 600
concerning utility
patent application contents.

ADDRESS TO:

**Commissioner for Patents
Box Patent Application
PO Box 1450
Alexandria, VA 22313-1450**

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract..... [Total Pages: 27]
3. ☒ Drawing(s) (35 USC 113)..... [Total Sheets: 8] [FIGS. 1-8]
4. ☒ Oath or Declaration..... [Total Pages: 1]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
5. ☐ This application is filed by fewer than all the inventors named in the prior nonprovisional application.
 - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
6. ☐ Verified Statement Claiming Small Entity Status
7. ☒ Incorporation by Reference (usable if Box 4b is checked)
The entire disclosure of the prior application (identified in Item 21), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
8. ☒ Applicant claims foreign priority benefit to: Japanese Application 9-004427 filed January 14, 1997
9. ☐ Application Data Sheet. See 37 C.F.R. 1.76
10. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
11. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies
12. ☒ Assignment to FUJITSU LIMITED of Kawasaki, JAPAN at Reel , Frame
☒ for publication of assignee information under 37 CFR 1.215(b)



ACCOMPANYING APPLICATION PARTS

13. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
14. ☐ English Translation Document (if applicable)
15. ☒ Foreign priority benefit under 35 U.S.C. §119 is claimed.
 - a. ☒ Certified Copy of Priority Document(s) filed in prior application No. 08/932,427.
 - b. ☐ Certified Copy of Priority Document(s) enclosed.
 - c. ☐ Certified Copy of Priority Document(s) to follow.
16. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
17. ☒ Preliminary Amendment
 - a. ☒ enclosed herewith.
 - b. ☒ incorporated herein (see Box 21).
18. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
19. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
20. ☒ Other: Copy of Request for Recordation of Assignment filed January 23, 2003 in U.S. Serial No. 08/932,427, including attachments.

21. CONTINUING APPLICATION, check appropriate box and supply the requisite information below:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 08/932,427.

Prior application information: Examiner: Gary J. Portka
Group/Art Unit: 2188

Preliminary Amendment:

- ☐ Cancel in this application original claims of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☒ Amend the specification by inserting before the first line the sentence:--This application is a continuation of application number 08/932,427, filed September 17, 1997, now pending.--


22. NEW CORRESPONDENCE ADDRESS CUSTOMER NO. 21,171



21171

PATENT TRADEMARK OFFICE

23. SIGNATURE OF ATTORNEY OR AGENT

| | | | |
|-----------|---|------------------|---------------|
| NAME | Gene M. Garner II | REGISTRATION NO. | 34,172 |
| SIGNATURE |  | DATE | July 16, 2003 |

07/16/03

18334 U.S. P.

S&H Form (2/02)

**NEW APPLICATION
FEE TRANSMITTAL**

| | |
|----------------------|---------------------------------------|
| Attorney Docket No. | 1081.1055D |
| Application Number | Continuation of Serial No. 08/932,427 |
| Filing Date | July 16, 2003 |
| First Named Inventor | Yoshio KITAMURA |

AMOUNT ENCLOSED \$ 750.00

FEE CALCULATION (fees effective 10/01/01)

| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|--|---------|------------------|------------------|--------------|------------------|
| TOTAL CLAIMS | | 4 - 20 = | 0 | X \$ 18.00 = | \$ 0.00 |
| INDEPENDENT CLAIMS | | 2 - 3 = | 0 | X \$ 84.00 = | 0.00 |
| MULTIPLE DEPENDENT CLAIMS (any number; if applicable) | | | | + \$280.00 = | |
| BASIC FILING FEE | | | | | 750.00 |
| Total of above | | | | | \$ 750.00 |
| Calculations = | | | | | |
| Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00) | | | | | |
| Reduction by 50% for filing by small entity (37 CFR 1.27). | | | | | |
| TOTAL FILING FEE = | | | | | \$ 750.00 |
| Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d)) | | | | | |
| Recordation of Assignment (\$40.00; 37 CFR 1.21(h)) | | | | | |
| TOTAL FEES DUE = | | | | | \$ 750.00 |

METHOD OF PAYMENT

- ☒ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No. below.
- ☐ No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

GENERAL AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

Deposit Account No.


19-3935

Deposit Account Name

STAAS & HALSEY LLP

- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS & HALSEY LLP

| | | | |
|------------|---|----------|---------------|
| Typed Name | Gene M. Garner II | Reg. No. | 34,172 |
| Signature |  | Date | July 16, 2003 |

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